

Nuclear Equation of State from *ab-initio* point-of-view

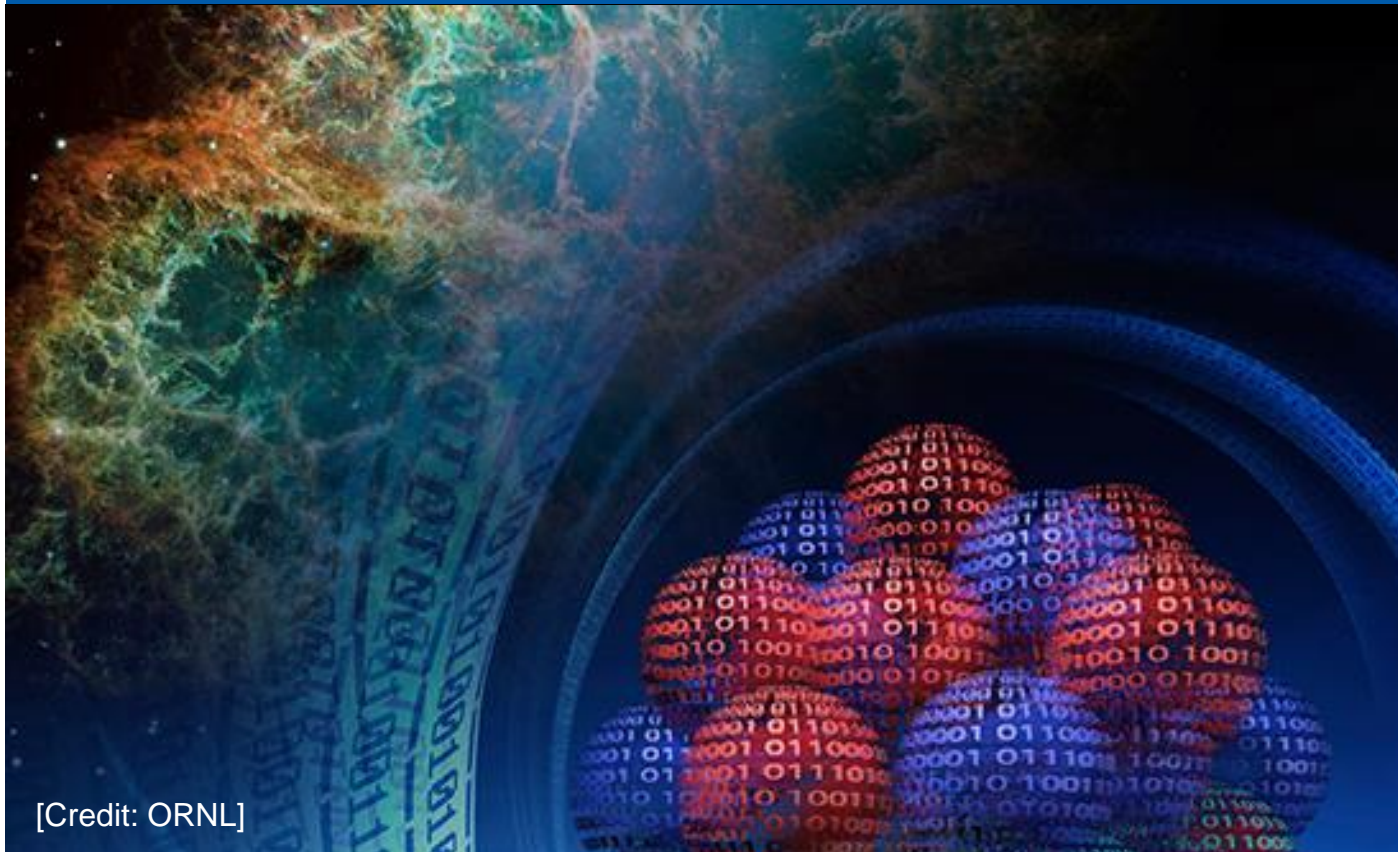
Christian Drischler

Topical Lecture Week 2017

February 21, 2017



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Nuclear Equation of State from *ab-initio* point-of-view

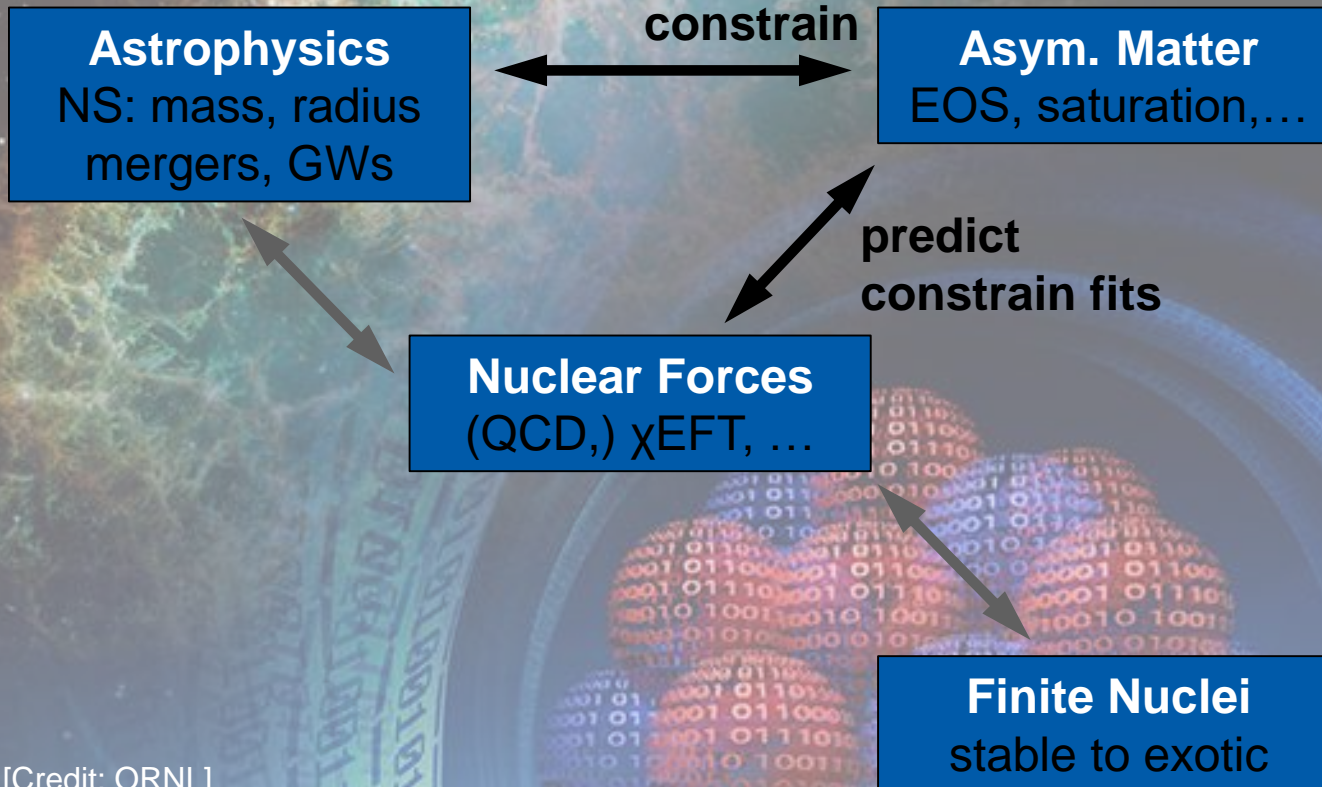


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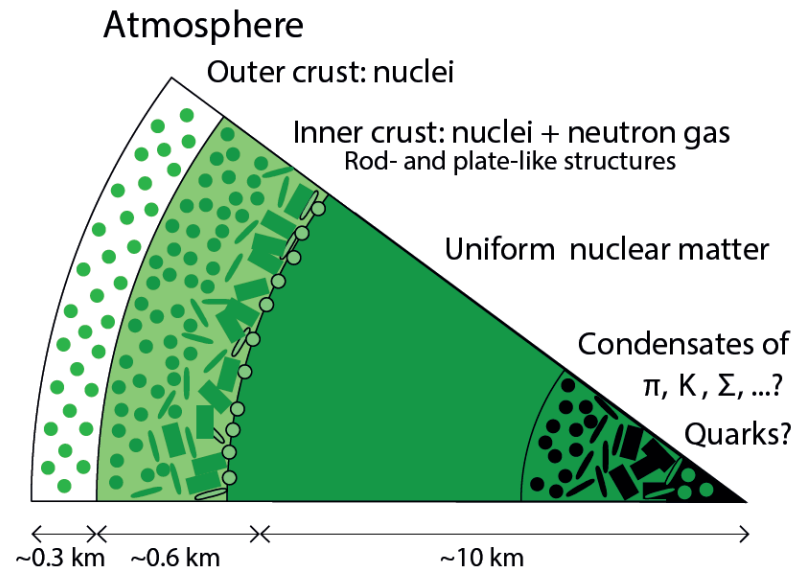


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Nuclear Equation of State from *ab-initio* point-of-view

Motivation: Neutron Stars



Lattimer, Prakash, *Science* **304**, 536 (2004)

neutron stars are of extremes:

- $R \sim (10 - 14)$ km, $M \sim 2 M_{\text{sun}}$
- most densest objects we observe

outer core: $n \sim n_0$

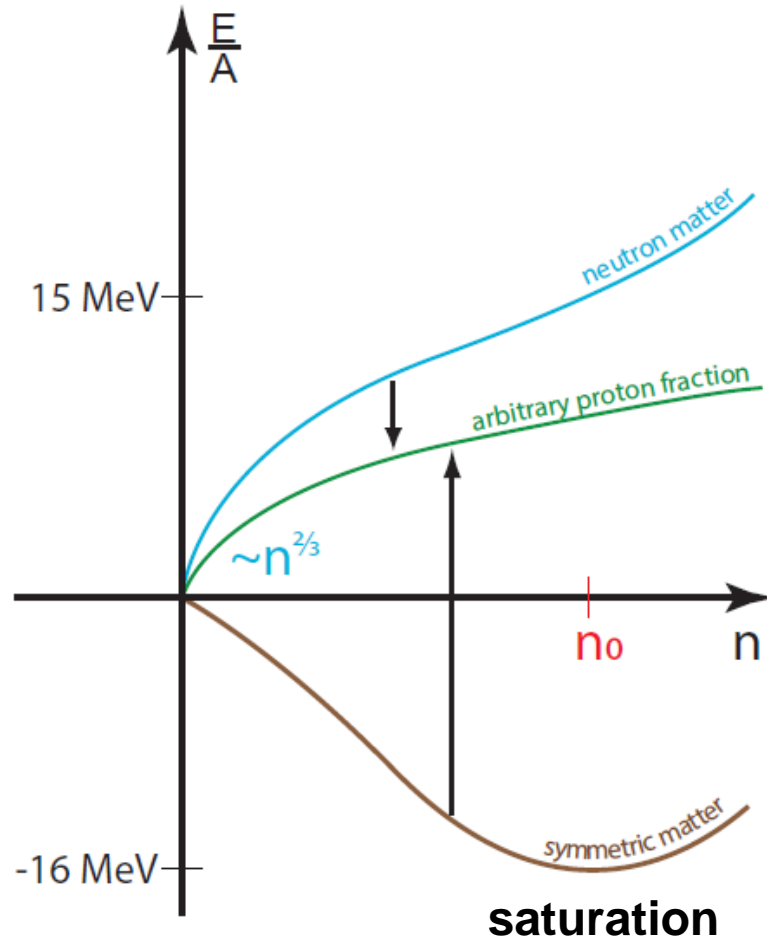
- homogeneous, infinite nuclear matter

nuclear matter: well-suited system to apply/check

- nuclear forces
- many-body approaches

Nuclear Equation of State from *ab-initio* point-of-view

Landscape of Nuclear Matter



neutron matter ($Z = 0$):

- Hebeler *et al.*, *Astrophys. J.*, **773**, 11 (2013)
- Krüger *et al.*, *PRC* **88**, 025802 (2013)
- Gezerlis *et al.*, *PRL* **111**, 032501 (2013)
- Roggero *et al.*, *PRL* **112**, 221103 (2014)
- Wlazłowski *et al.*, *PRL* **113**, 182503 (2014)
- Lynn *et al.*, *PRL* **116**, 062501 (2016)
- Dyhdalo *et al.*, *PRC* **94**, 034001 (2016)

...

symmetric matter ($N = Z$):

- Hebeler *et al.* *PRC* **83**, 031301(R) (2011)
- Holt, Kaiser, Weise, *PPNP* **73** 35 (2013)
- Coraggio, Holt *et al.* *PRC* **89**, 044321 (2014)
- Wellenhofer *et al.*, *PRC* **89**, 064009 (2014)
- Carbone *et al.*, *PRC* **90** 054322 (2014)
- Coraggio, Holt *et al.*, *PRC* **89**, 044321 (2014)

...

applications to neutron stars??

Nuclear Equation of State from *ab-initio* point-of-view

Neutron Stars in β Equilibrium: $0 < x \ll 0.5$

Such calculations are more involved: less symmetries

$$x = \frac{n_p}{n_p + n_n} \quad \text{or,} \quad \beta = \frac{n_n - n_p}{n_n + n_p} \quad \text{with} \quad \beta = 1 - 2x$$

Obtaining the equation of state:

- parametrizations (fits to PNM plus empirical properties)
- **empirically** constrained coefficients

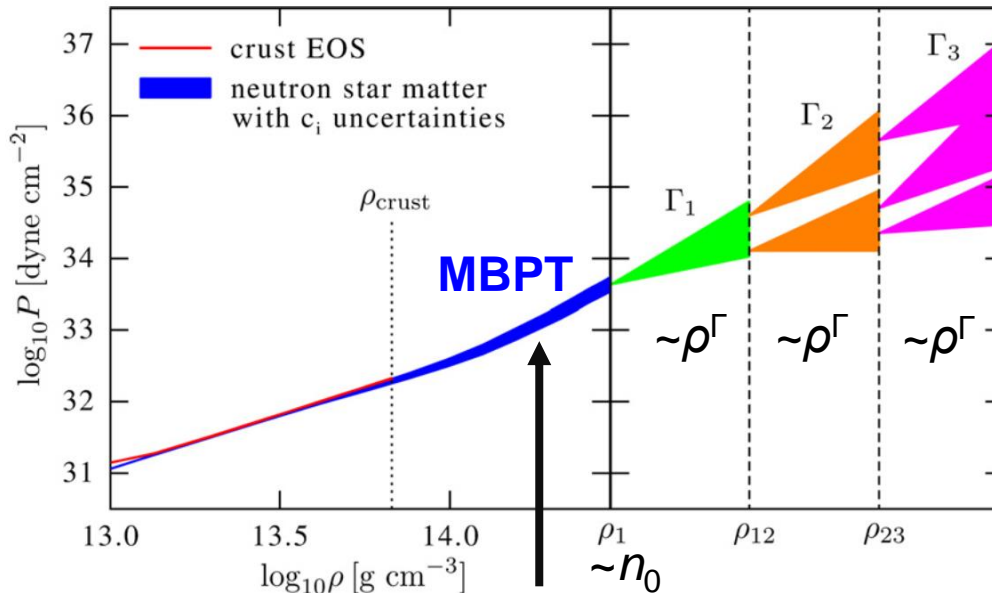
$$\frac{E}{A}(\beta, n) \stackrel{\text{Taylor}}{=} \sum_{i,j} C_{ij} \beta^i \left(\frac{n - n_0}{n_0} \right)^j$$

e.g., $C_{00} \sim -16$ MeV, $C_{20} \sim 31$ MeV, ...

Nuclear Equation of State from *ab-initio* point-of-view

Constraining Neutron Stars

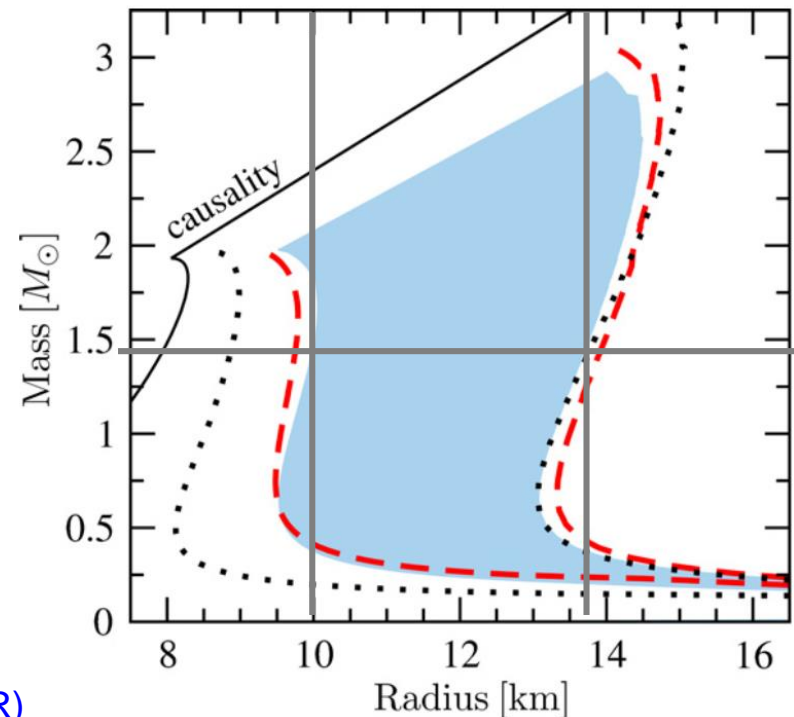
Hebeler *et al.*, *Astrophys. J.*, **773**, 11



TOV Eqs.

+ $M_{\text{max}} \geq 1.97 M_{\text{sun}}$
+ causality

Mass-Radius Relation



Neutron matter extrapolated to β equilibrium



Improvements needed:

- calculate asym. matter directly
- higher orders in the **chiral** and perturbative **expansion**

for QMC, see also: Gandolfi *et al.*, *Phys. Rev. C* **85**, 032801(R)

Nuclear Equation of State from *ab-initio* point-of-view

Motivation: Infinite Matter

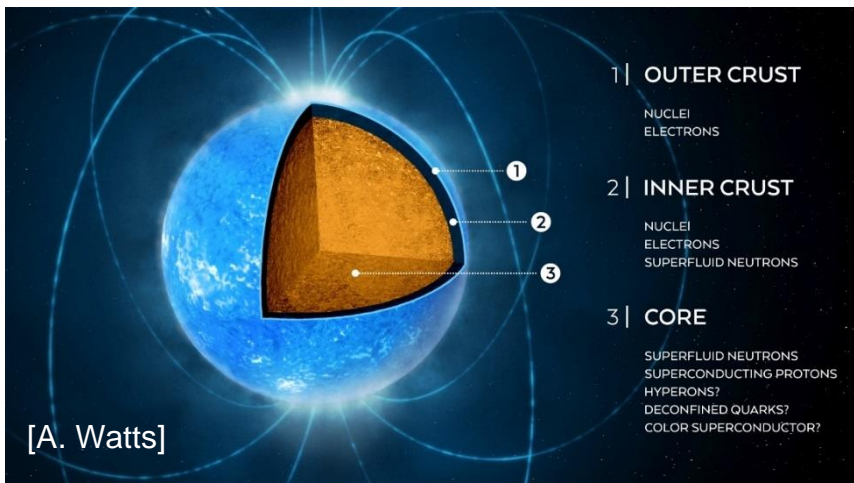
see also: Wellenhofer *et al.*, PRC **93**, 055802

Energy per particle: $\frac{E}{A}(n, x, T)$

density
proton fraction
temperature

based on **chiral effective field theory (EFT)**:

- direct determination of astrophysical quantities: sym. energy, ...
- ideal to **test** (and to improve) **nuclear forces** $\sim n_0$
- constrain neutron-star EOS: mass-radius relations, ...



$$S_2(n) = \frac{1}{2} \frac{\partial^2 E}{\partial \beta^2} \frac{E}{A}(n, \beta) \Big|_{\beta=0}$$

$$L = 3n_0 \frac{\partial}{\partial n} S_2(n) \Big|_{n=n_0}$$

Nuclear Equation of State from *ab-initio* point-of-view

Chiral Effective Field Theory

Nuclear Matter interacts via the **Strong Interaction**

(not considering Coulomb)

- fundamental theory is known
- QCD is non-perturbative at low energies of interest
- **modern approach**: chiral EFT
 - relevant degrees of freedom instead of quarks/gluons
 - use e.g., **nucleons** and **pions**
 - pion exchanges and short-range contact interactions
 - expand most general Lagrangian in powers of $Q = \max(p, m_\pi) / \Lambda_b \sim 1/3$



Weinberg, Phys. Lett. B **251**, 288 (1990)

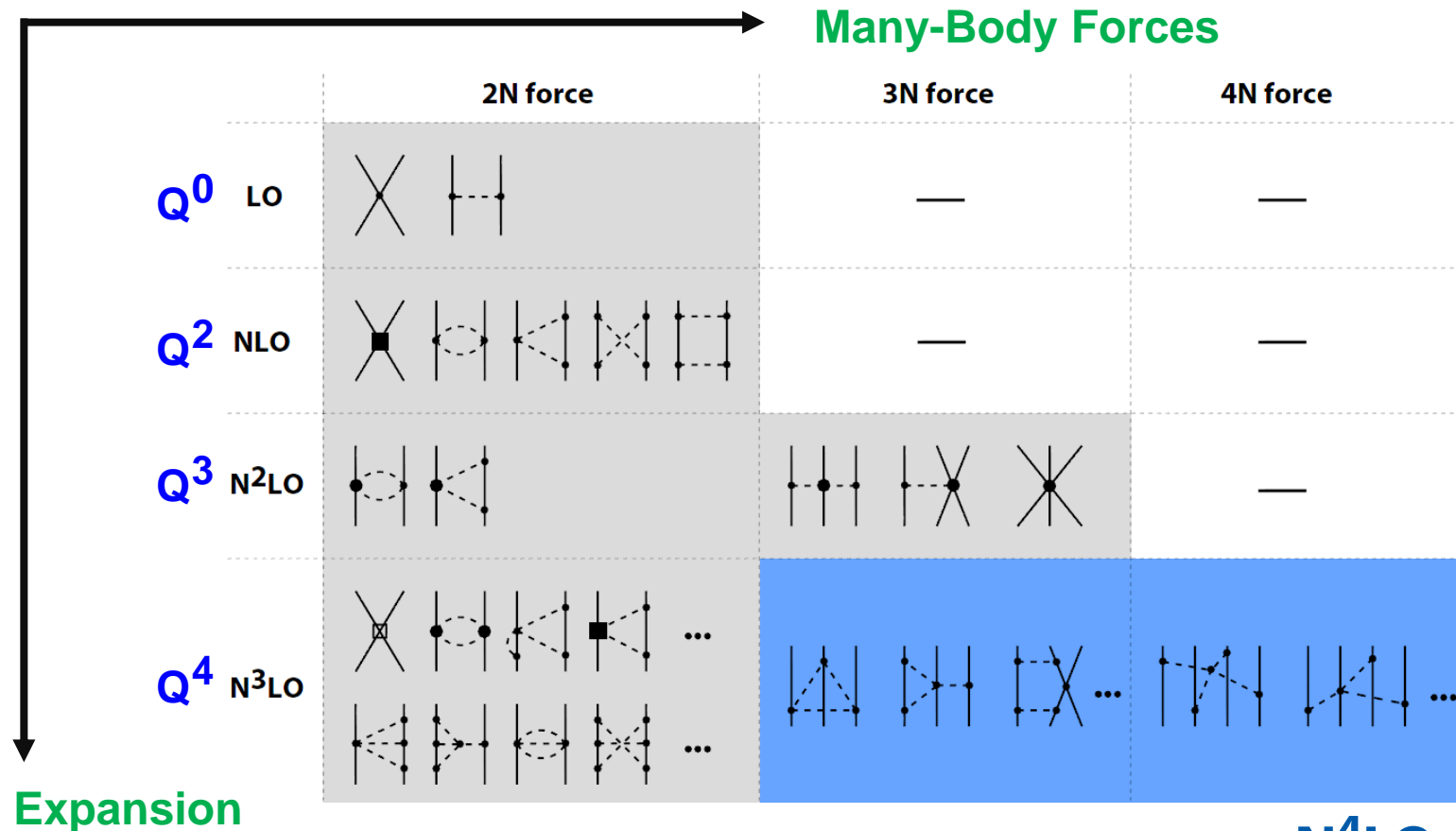
Weinberg, NP B **363**, 3 (1991)

Weinberg, Phys. Lett. B **295**, 114 (1992)

Nuclear Equation of State from *ab-initio* point-of-view

Hierarchy of Nuclear Forces in Chiral EFT

see: Epelbaum *et al.*, PRL 115, 122301



... and ongoing work at **N⁴LO** ...

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum, Kaiser, Machleidt, Meißner, ...

Nuclear Equation of State from *ab-initio* point-of-view

3N forces beyond Hartree-Fock?

Effective NN potentials

by summing *one* particle over the occupied states of the Fermi sea

» dominant 3N contributions

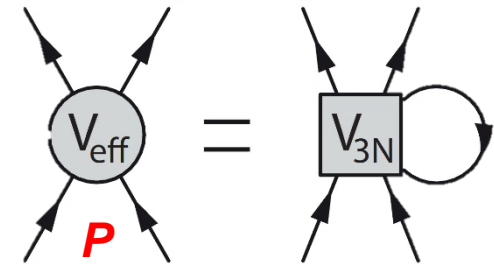
Holt *et al.*, PRC **81**, 024002
Hebeler *et al.*, PRC **82**, 014314

so far: **only N^2LO 3N** and **$P = 0$**

Improved Method

- applicable to all nuclear forces
- N^3LO 3N forces due to recent PW decomposition

Hebeler *et al.*, PRC **91**, 044001



some more applications:

Wellenhofer *et al.*, PRC **92**, 015801
Holt *et al.*, Progr. Part. Nucl. Phys. **73**, 35
Hebeler *et al.*, Ann. Rev. Nucl. Part. Sci. **65**, 457–84

...



**towards *consistent*
 N^3LO calculations**

Nuclear Equation of State from *ab-initio* point-of-view

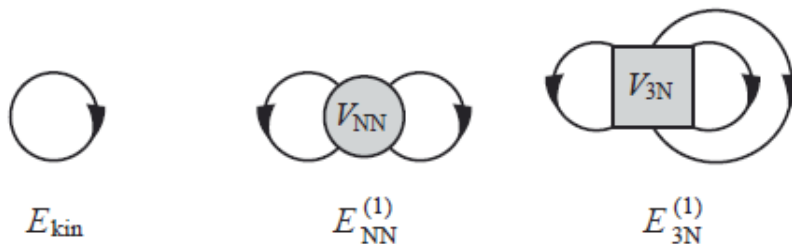
MBPT Diagrams

Hebeler *et al.*, Phys. Rev. C **82**, 014314

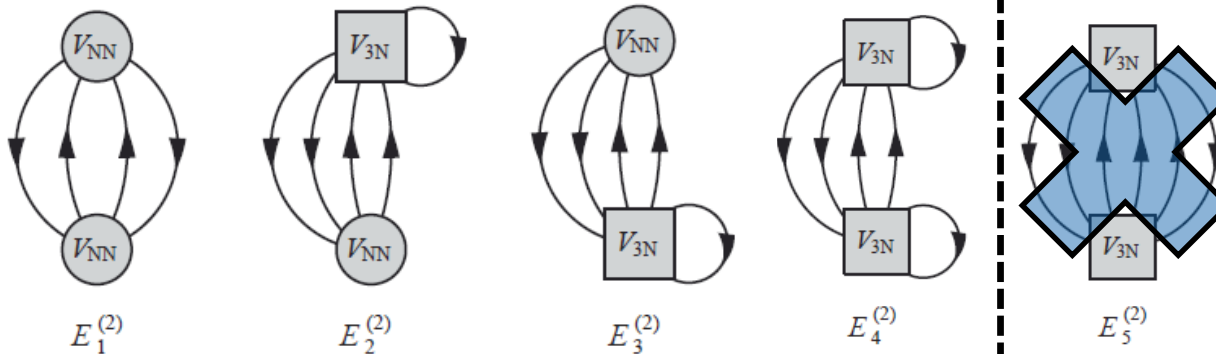
Normal-Ordered
NN level

$$\bar{V}_{\text{as}} = V_{\text{NN}} + \xi V_{\text{eff}}$$

Initial NN Forces
Normal-Ordered 3N Forces
combinatorial factor



Hartree-Fock



Second Order

+ Higher Orders

Nuclear Equation of State from *ab-initio* point-of-view

Outline

- 0** Improved Normal-Ordering Method
- 1** Isospin-Asymmetric Nuclear Matter
- 2** Many-Body Convergence?
- 3** BCS Pairing Gaps in Neutron Matter



$$S_2(n) = \frac{1}{2} \frac{\partial^2 E}{\partial \beta^2} \frac{1}{A}(n, \beta) \Big|_{\beta=0}$$
$$L = 3n_0 \frac{\partial}{\partial n} S_2(n) \Big|_{n=n_0}$$

see also:

Vidaña *et al.*, PRC **80**, 045806

CD *et al.*, PRC **89**, 025806

Drews, Weise, PRC **91**, 035802

Wellenhofer *et al.*, PRC **93**, 055802

CD, Hebeler, Schwenk, PRC **93**, 054314.

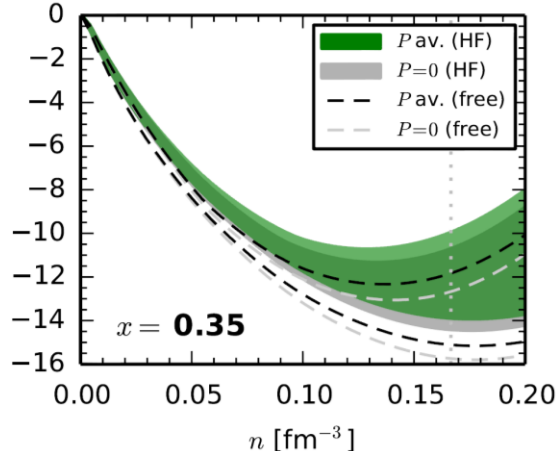
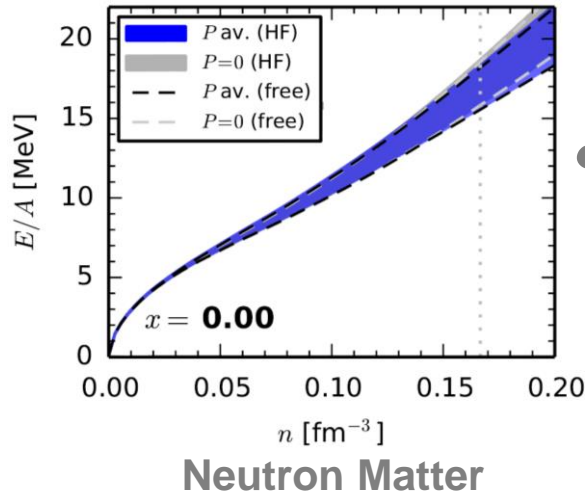
ISOSPIN-ASYMMETRIC NUCLEAR MATTER

Objectives: equation of state, saturation point,
incompressibility, symmetry energy

Nuclear Equation of State from *ab-initio* point-of-view

Equation of State

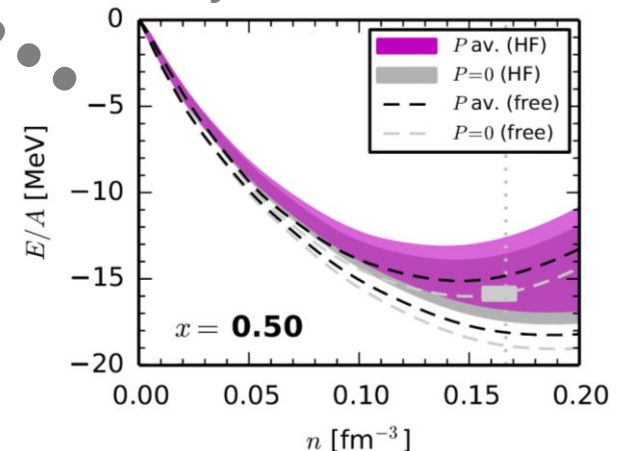
CD, Hebeler, Schwenk, PRC **93**, 054314



11 proton fractions
 $x = 0.0, 0.05, \dots, 0.5$
up to second order

$$x = \frac{n_p}{n_n + n_p}$$

Symmetric Matter

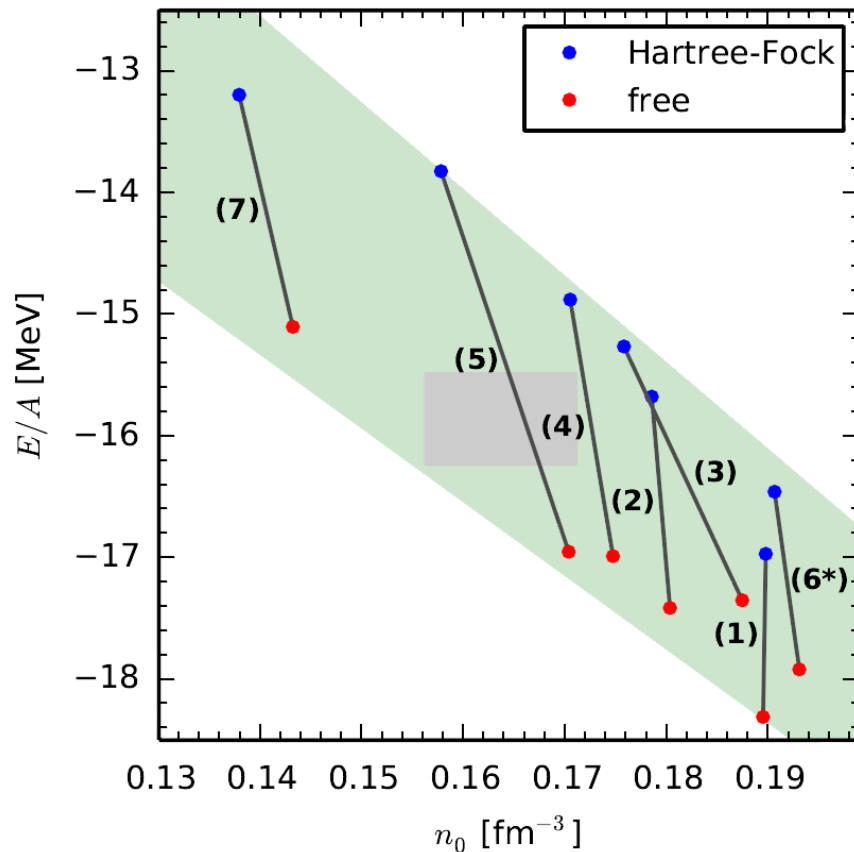


Uncertainty bands: [Hebeler et al., PRC 83, 031301\(R\)](#)

- 7 Hamiltonians: evolved $N^3\text{LO}$ NN + bare $N^2\text{LO}$ 3N
- different combinations of λ/Λ_{3N}
- c_D, c_E fit *only* to few-body data
- free and Hartree-Fock spectrum

Nuclear Equation of State from *ab-initio* point-of-view

Saturation Properties



Coester-like correlation

- covers the empirical range due to 3N contributions

Coester *et al.*, PRC **1**, 769

empirical saturation point:

max. range of 14 EDF's

Dutra *et al.*, PRC **85**, 035201

Kortelainen *et al.*, PRC **89**, 054314

$$n_0 = (0.138 - 0.193) \text{ fm}^{-3}$$

$$K = (182 - 254) \text{ MeV}$$

Nuclear Equation of State from *ab-initio* point-of-view

Symmetry Energy and Slope Parameter

see also: Hagen *et al.*, Nat. Phys. **12**, 186

standard expansion: $\beta = 1 - 2x$

$$\frac{E}{A}(n, \beta) = \frac{E_{\text{SNM}}(n)}{A} + S_2(n)\beta^2 + \dots$$

$$S_2(n) = S_v + \frac{L}{3} \left(\frac{n - n_0}{n_0} \right) + \dots$$

tight constraints

$$S_v = (30.9 \pm 1.4) \text{ MeV}$$

$$L = (45.0 \pm 7.1) \text{ MeV}$$

in **agreement** with emp. extractions

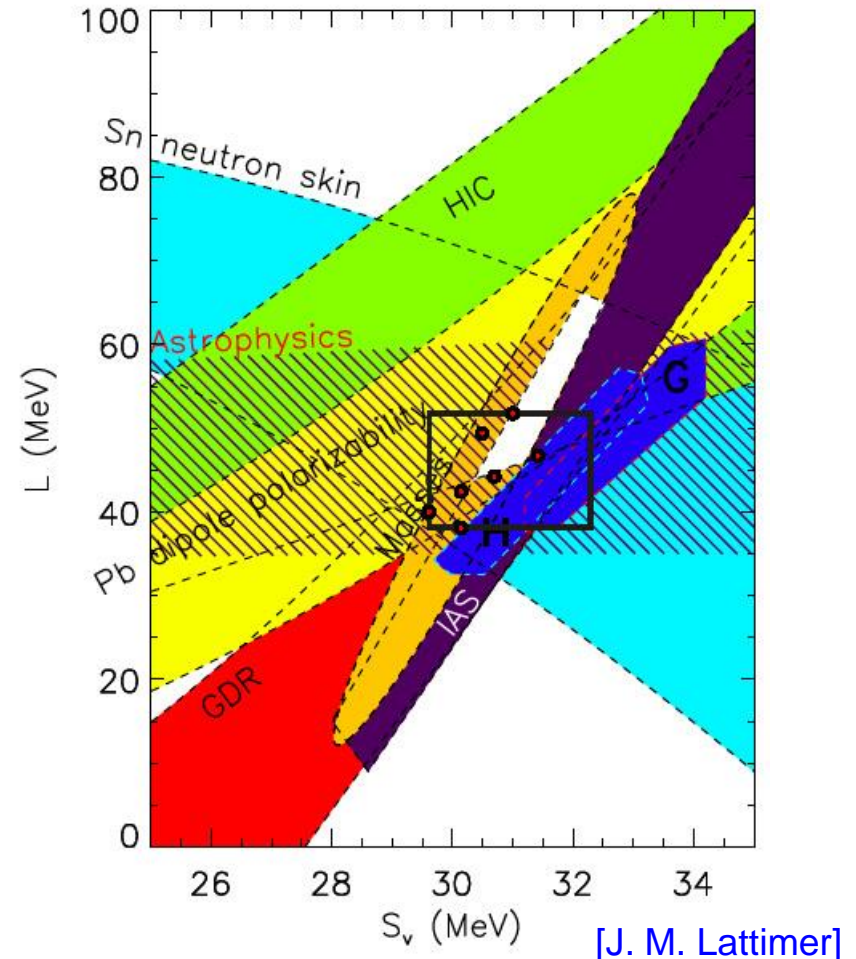
Lattimer, Lim, *Astrophys. J.* **771**, 51

quadratic expansion is reliable;

but nonanalytical quartic term: $\beta^4 \ln |\beta|$

Kaiser, *PRC* **91**, 065201

Wellenhofer *et al.*, *PRC* **93**, 055802



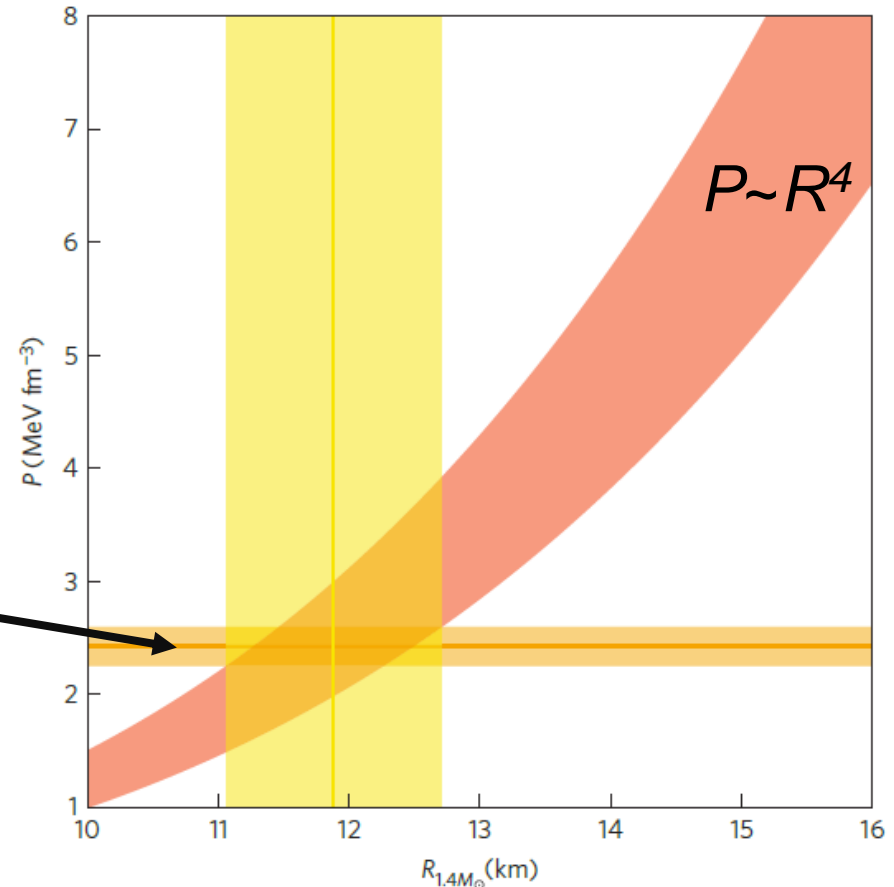
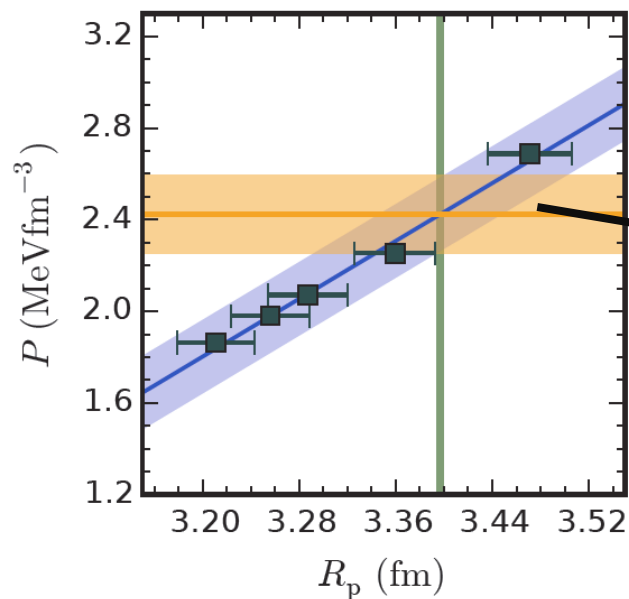
Nuclear Equation of State from *ab-initio* point-of-view

Radius Estimate for a $1.4 M_{\text{sun}}$ Neutron Star

Hagen *et al.*, Nat. Phys. **12**, 186

Universal (empirical) relation by
Lattimer, Prakash

Pressure constrained by
CC calculations of ^{48}Ca radii
and measurement



Lattimer, Prakash, APJ, **550**, 426
Lattimer, Lim, Astrophys. J. **771**, 51

see also:

Dickhoff, Barbieri, Prog. Part. Nucl. Phys. **52**, 377

Rios *et al.*, PRC **79**, 025802

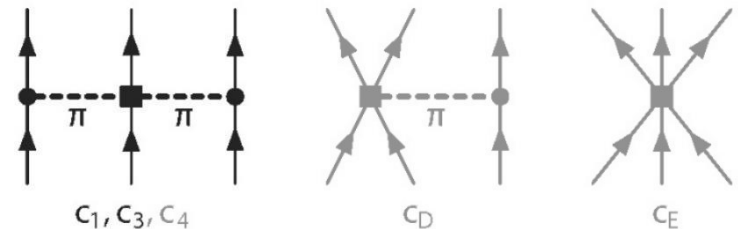
Krüger *et al.*, PRC **88**, 025802

Tews *et al.*, PRC **93**, 024305



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Neutron Matter:



CD, Carbone, Hebeler, Schwenk, PRC **94**, 054307.

MANY-BODY CONVERGENCE?

Objectives: test many-body convergence
study impact of N^3LO 3N forces

Nuclear Equation of State from *ab-initio* point-of-view

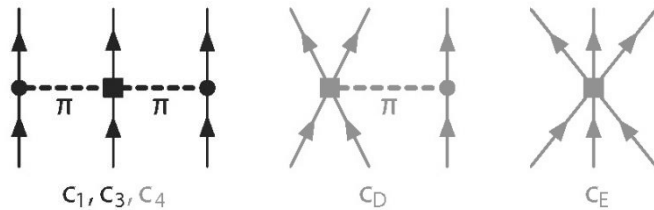
Testing Many-Body Convergence

CD, Carbone, Hebeler, Schwenk, PRC **94**, 054307

- consistent N^3LO NN/3N forces
- **finite proton fractions** need reliable fits of c_D , c_E at N^3LO

Golak *et al.*, Eur. Phys. J. A **50** 177

Neutron Matter



Uncertainty bands

- use always c_i 's recommended for N^3LO calculations
- plus many-body uncertainty

Krebs *et al.*, PRC **85**, 054006

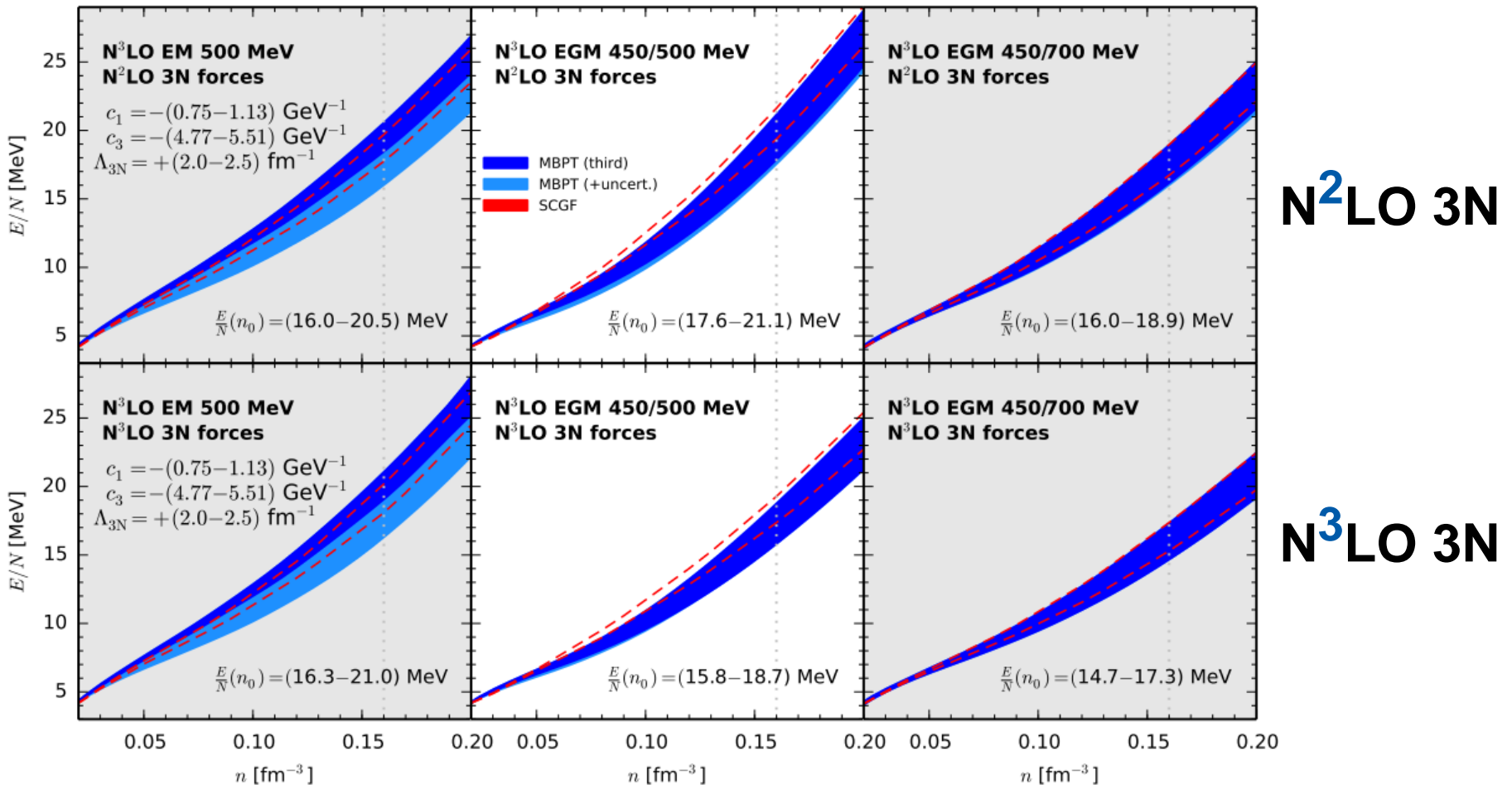
MBPT	SCGF Method
Improved Normal-Ordering Method	
up to third order	nonperturbative
free vs. HF spectrum	full spectral function
$T=0$ MeV	Extrapolated to $T=0$ MeV

see also: Carbone *et al.*, PRC **90** 054322

Nuclear Equation of State from *ab-initio* point-of-view

MBPT vs. SCGF Method

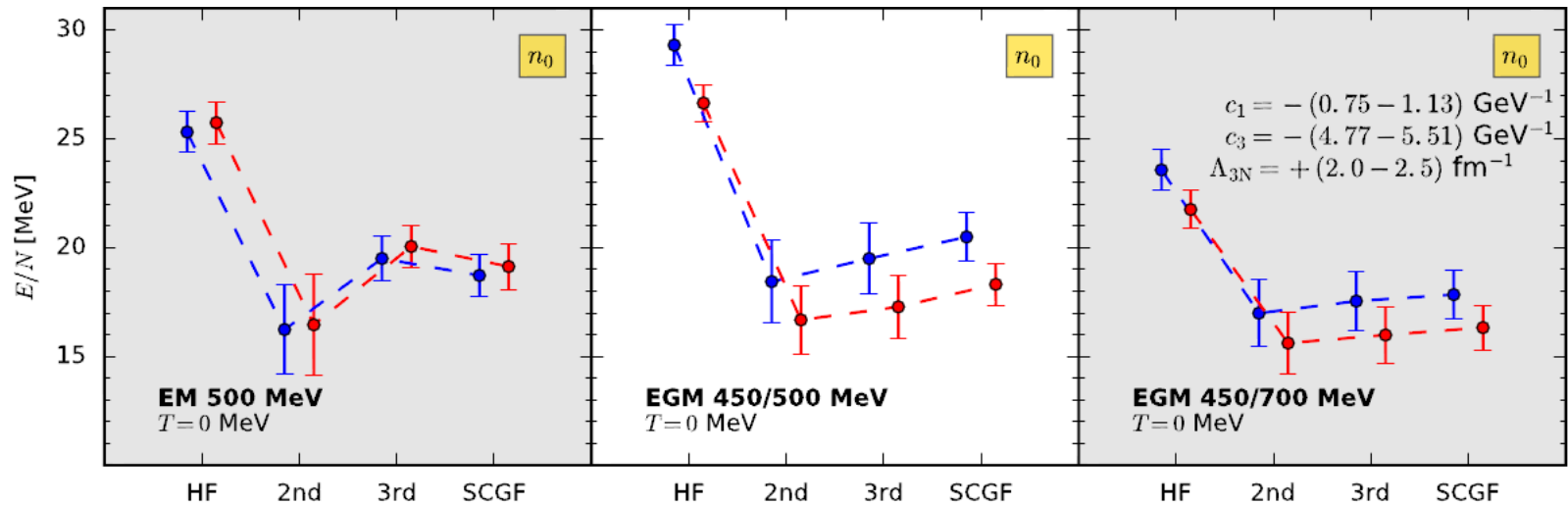
CD, Carbone, Hebeler, Schwenk, PRC **94**, 054307



Nuclear Equation of State from *ab-initio* point-of-view

Testing Many-Body Convergence

CD, Carbone, Hebeler, Schwenk, PRC **94**, 054307



Order-by-order analysis: (at saturation density)

- attractive second vs. repulsive third order
- MBPT **well converged** for EGM potentials (small third order)
- EM 500 MeV is less perturbative (larger third order)
- small energy shift due to $N^3\text{LO } 3N$ w.r.t. $N^2\text{LO } 3N$ contributions

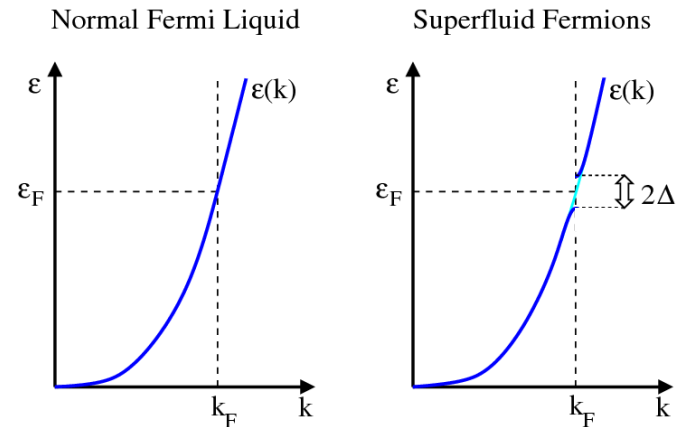
see also:

Srinivas, Ramanan, PRC **94**, 064303

Ding *et al.*, PRC **94**, 025802

Maurizio *et al.*, PRC **90**, 044003

Page *et al.*, “Novel Superfluids“, Oxford University Press



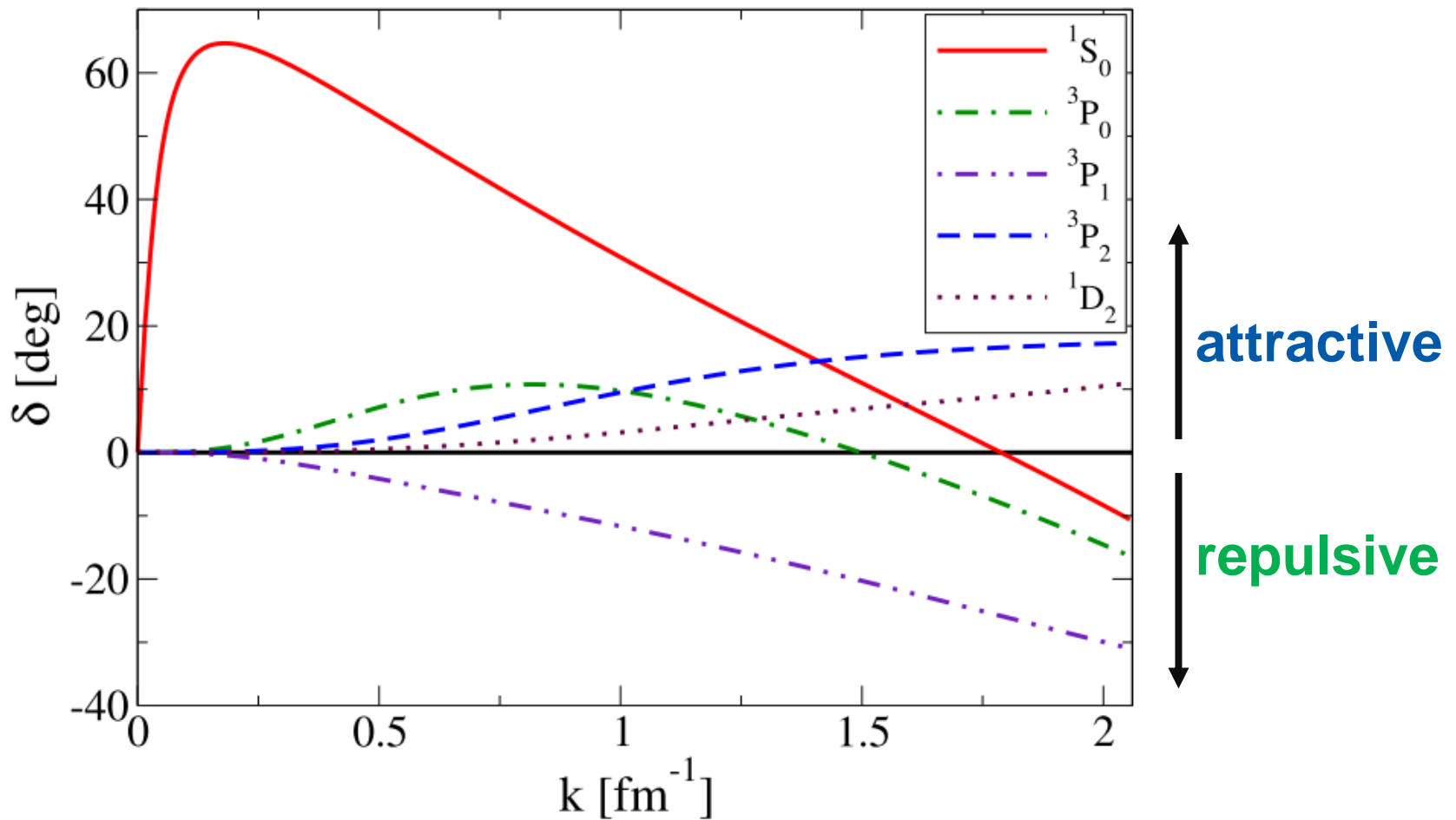
CD, Krüger, Hebeler, Schwenk, PRC **95**, 024302.

BCS PAIRING GAPS IN NEUTRON MATTER

Objectives: study subleading 3N contributions
recent (semi-)local NN potentials, new uncertainties

Nuclear Equation of State from *ab-initio* point-of-view

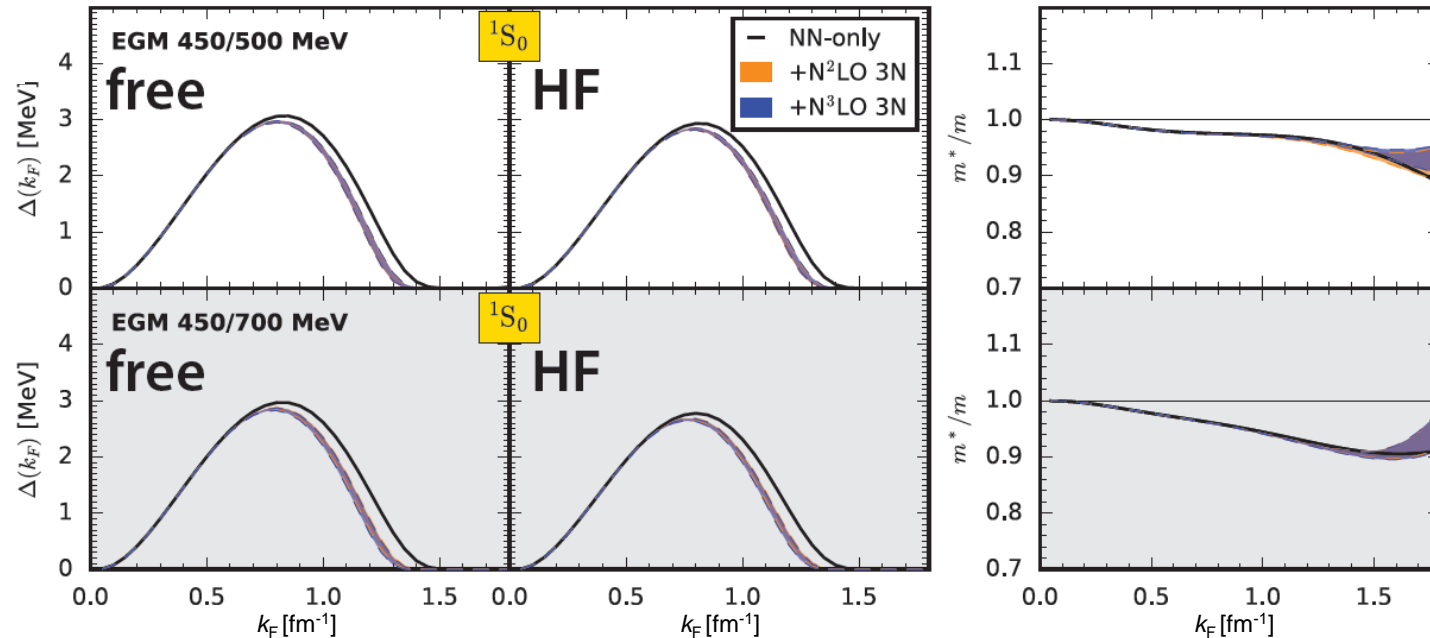
Attractive Interactions: Phase Shifts



Nuclear Equation of State from *ab-initio* point-of-view

Pairing Gaps: 3N forces in 1S_0

CD, Krüger, Hebeler, Schwenk, PRC **95**, 024302

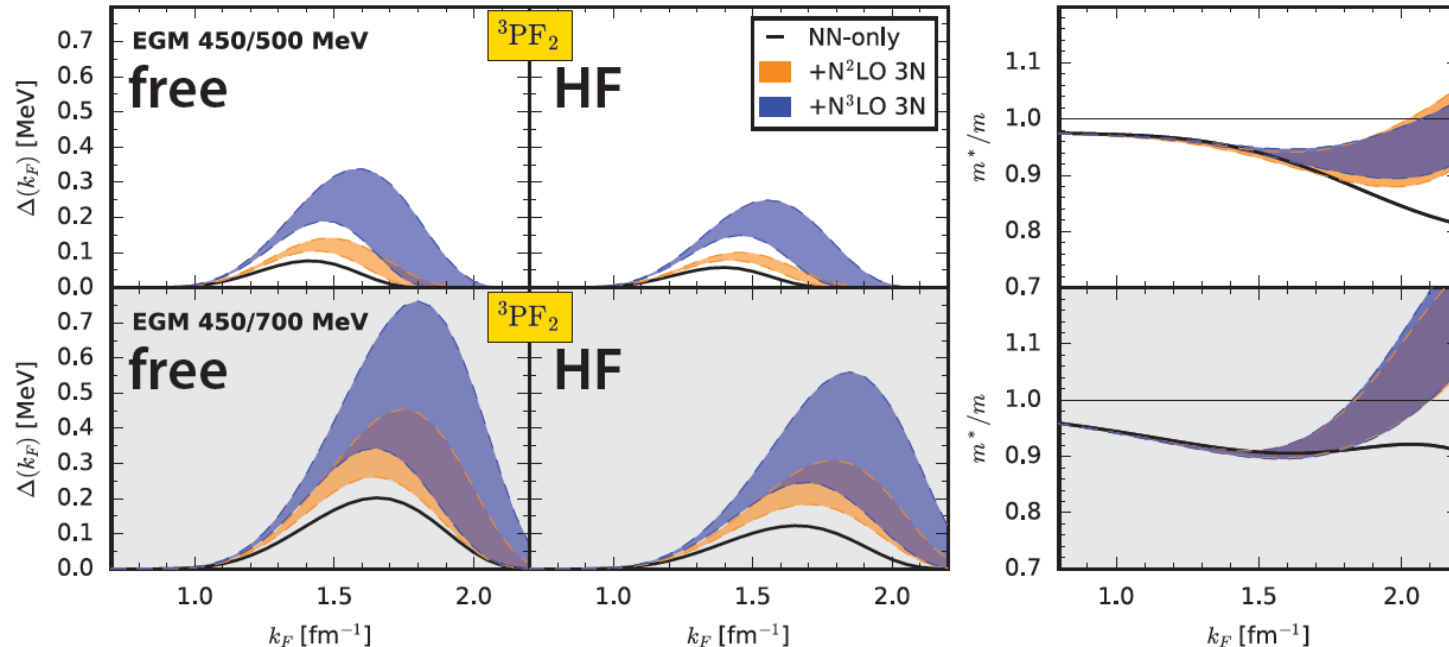


- uncertainties: 3N parameter variation (recommended values)
- **pairing gap at low densities**
 - universal gaps: strongly constrained by phase shifts
 - **small 3N contributions**: only small suppression for $k_F > 0.8$ fm $^{-1}$
 - almost independent of the energy spectrum

Nuclear Equation of State from *ab-initio* point-of-view

Pairing Gaps: 3N forces in 3P_2 - 3F_2

CD, Krüger, Hebeler, Schwenk, PRC **95**, 024302

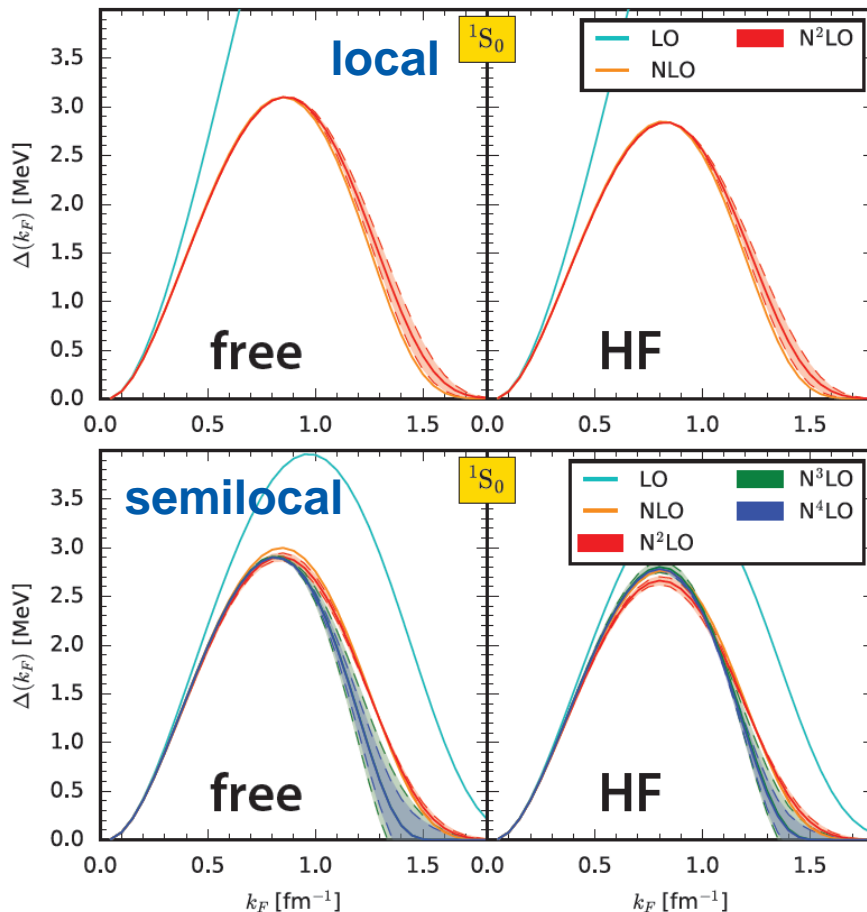


- uncertainties: 3N parameter variation (recommended values)
- pairing gap at high densities
 - **3N forces add attraction**: larger max. gap and closure at higher densities
 - effective masses are enhanced due to 3N forces
 - chiral EFT still efficient at $k_F > 2$ fm $^{-1}$?

Nuclear Equation of State from *ab-initio* point-of-view

(Semi-)Local NN: 1S_0 channel

CD, Krüger, Hebeler, Schwenk, PRC **95**, 024302



local and semilocal NN forces:

- up to N²LO and N⁴LO
- $R_0 = 0.9, 1.0, 1.1$ and, 1.2 fm

new uncertainties (Epelbaum *et al.*)

order-by-order analysis in the
chiral expansion (LO neglected)

findings: $Q(k_F) = \max\left(\frac{p}{\Lambda_b}, \frac{m_\pi}{\Lambda_b}\right)$

- at NLO and beyond **gaps**
agree up to $k_F \sim (0.6-0.8)$ fm $^{-1}$
- sensitivity to spectrum is again
small

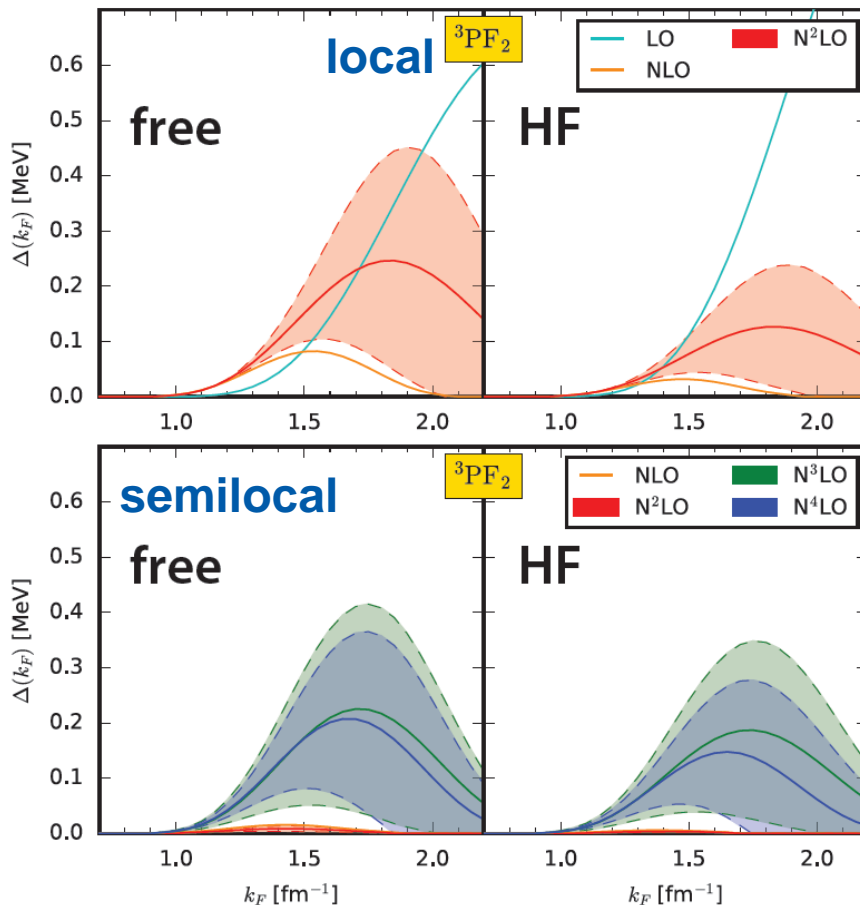
Gezerlis *et al.*, PRC **90**, 054323

Epelbaum *et al.*, Eur. Phys. J. A **51**, 53

Nuclear Equation of State from *ab-initio* point-of-view

(Semi-)Local NN: 3P_2 - 3F_2 channel

CD, Krüger, Hebeler, Schwenk, PRC **95**, 024302



local and semilocal NN forces:

- up to $N^2\text{LO}$ and $N^4\text{LO}$
- $R_0 = 0.9, 1.0, 1.1$ and, 1.2 fm

new uncertainties (Epelbaum *et al.*)

order-by-order analysis in the
chiral expansion (LO neglected)

findings:

- large uncertainties: breakdown
of the chiral expansion ?

Gezerlis *et al.*, PRC **90**, 054323

Epelbaum *et al.*, Eur. Phys. J. A **51**, 53

Nuclear Equation of State from *ab-initio* point-of-view

Summary | Outlook

Improved Normal-Ordering Method

- applicable to all 3N forces (incl. N^3LO)
- **asymmetric matter**: results for EOS, symmetry energy, ...

More Applications

- studied **many-body convergence** in neutron matter:
 N^3LO 3N forces beyond Hartree-Fock and in SCGF method
- **BCS pairing gaps** in 1S_0 and $^3P_2-^3F_2$:
 - N^3LO 3N contributions to previous NN potentials
 - recent (semi-)local NN potentials, new uncertainties

Extensions – a selection

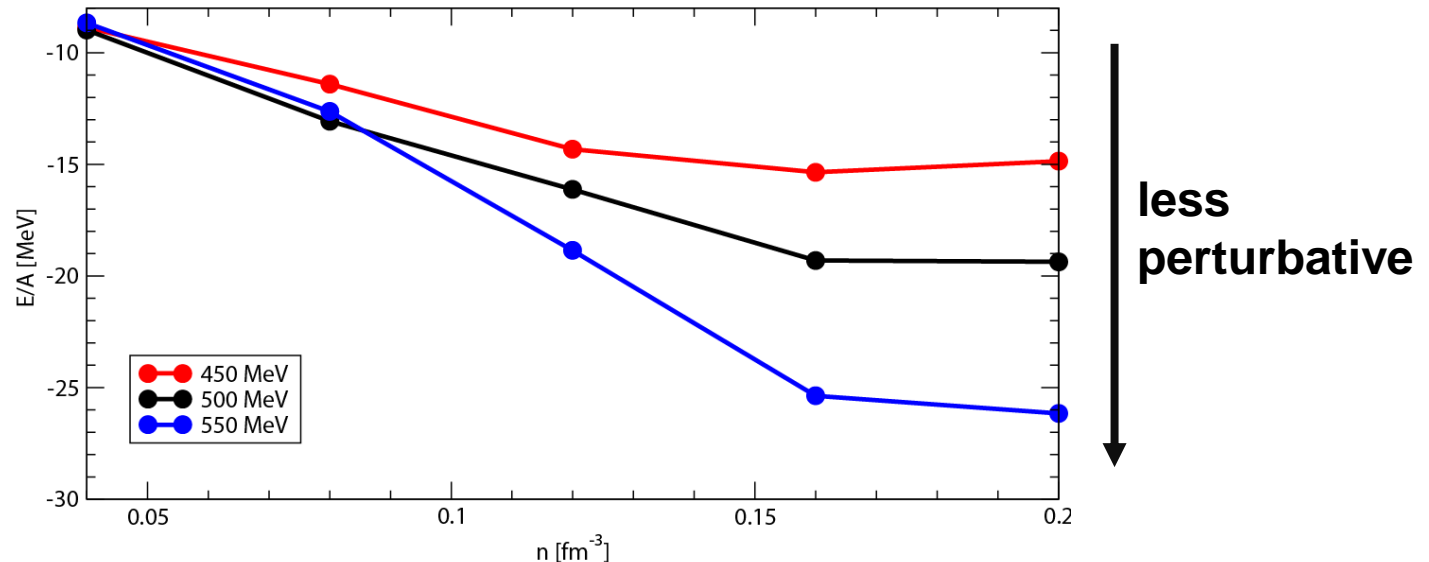
- finite temperatures, consistently-evolved forces, ...
- **constrain next-gen. potentials: saturation, ...** [Carlsson et al., PRX 6, 011019](#)

Nuclear Equation of State from *ab-initio* point-of-view

First Results: Isospin-Symmetric Matter

Drischler *et al.*, work in progress

preliminary



$N^2\text{LOsim}$	$E_{NN}^{(3)}(n_0)$ [MeV]	$E_{NN}^{(4)}(n_0)$ [MeV]	$E_{tot}^{(IV)}(n_0)$ [MeV]
450 MeV	+1.1	+0.3	-15.4
500 MeV	+1.6	-1.5	-19.3
550 MeV	+3.3	-4.1	-25.4

