



LECTURE 4: DIRECT TRANSFER AND KNOCKOUT REACTIONS

NUCLEAR STRUCTURE STUDIED WITH SPECTROSCOPY AND REACTIONS

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TU Darmstadt, IKP, February 2017

Reactions: tools to excite and probe nuclear states



Reactions are used for several reasons to

- > excite specific states and probe nuclear structure,
- produce Radioactive lons beams,
- study nuclear dynamics and nuclear matter equation of state

□ The dynamical quantum many-body problem can not be solved exactly

Approximations are made: multiple feedback between experiment and theory

Nuclear reactions



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22 Selectivity of direct reactions





Single-particle description

- Spectroscopic factors
- The Baranger sum rule

Nucleon transfer at low energy

- the Distorted-Wave Born Approximation (DWBA)
- experimental methods with exotic nuclei
- detection systems for transfer

Intermediate-energy nucleon removal

- S-matrix theory and eikonal approximation
- Physics case: breakdown of the N=8 shell closure in ¹²Be
- Quasifree scattering
- Invariant-mass technique
- Physics case: oxygen binding energy systematics

• Short range correlations and stripping reactions

- Short Range Correlations (SRC) and spectroscopic strength reduction
- Deeply-bound nucleon removal

Cea Main motivation: probing the nuclear shells

Direct reations

- □ absolute excitation energy from invariant mass
- □ transfered angular momentum from angular distributions
- □ can resolve the nature of states (proton or neutron excitations / single-particle character)



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CEO Transfer reactions



M.J.Bechara and O.Dietzsch, Phys. Rev. C 12 (1975).

- Direct: surface process and selectivity
- Transfer: momentum matching: Fermi velocities, 5 to 50 MeV/nucleon
- **Conservation** of spin, parity, angular momentum
- Typical cross section of 1 mb (for one final state): > 10⁴ pps for $d\sigma/d\Omega$

22 Intuitive view of Spectroscopic Factors (SFs)

Spectroscopic factor: the square overlap of a final state with a single particle state

$$S_k^{n\ell j} = \left| \left\langle \psi_k^{A+1} \left| a_{n\ell j}^{+} \right| \psi_0^{A} \right\rangle \right|^2$$

Pickup, ex: ⁴⁴Ca(d,p)⁴⁵Ca



$$S_k^{n\ell j} = \left| \left\langle \psi_k^{A-1} \left| a_{n\ell j} \right| \psi_0^A \right\rangle \right|^2$$

Stripping, ex: ⁴⁴Ca(p,d)⁴³Ca



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Pickup, ex: ⁴⁴Ca(d,p)⁴⁵Ca



Stripping, ex: ⁴⁴Ca(p,d)⁴³Ca



Ab Initio calculations (Gorgov Green's function): courtesy V. Somà, CEA

Why spectroscopic factors are so important?

- Single particle energies e_{nli} can be reconstructed from:
 - physical state energies E_k (observables) from pickup AND stripping
 - > **spectroscopic factors** S_k^{nlj} (not observables)
- Remark (!): SPE not observables (i.e. modified under unitary transform of the Hamiltonian)

Baranger sum rule:

$$e_{n\ell j} = \frac{\sum_{k} S_{k}^{n\ell j} (E_{k} - E_{0}) + S_{k}^{n\ell j} (E_{0} - E_{k})}{\sum_{k} S_{k}^{n\ell j} + S_{k}^{n\ell j}}$$

M. Baranger, NPA 149, 225 (1970)

- In principle, in a given theoretical framework,
 SFs can be obtained from cross sections.
- Experimental and theoretical uncertainties are often considered too large to extract single-particle energies directly from the Baranger equation.



T. Duguet and G. Hagen, PRC 85 (2012)

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Reduction of the Spin-Orbit Splittings at the N = 28 Shell Closure



PRL 97, 092501 (2006)

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Reduction of the Spin-Orbit Splittings at the N = 28 Shell Closure



What is missing in this analysis?

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Reduction of the Spin-Orbit Splittings at the N = 28 Shell Closure



PRL 97, 092501 (2006)

Ceal Sensitivity study to shell gaps

²²O(d,p)²³O, ²²O(d,t)²¹O : a theoretical study by A. Signoracci, T. Duguet



Transfer reaction in the Born Approximation

Plane wave approx.: $p+(A+1) \rightarrow d + A$

$$|\psi_{\alpha}\rangle = e^{ik_{p}\cdot r_{p}} \Phi_{A+1,\alpha} |\psi_{\beta}\rangle = e^{i\vec{k_{d}}\cdot \vec{r_{d}}} \Phi_{A,\beta} \Phi_{d}(\vec{r_{n}} - \vec{r_{p}})$$



Transition matrix element

$$T \propto \left\langle \psi_{\beta} \left| V \right| \psi_{\alpha} \right\rangle = \int e^{-i\vec{k_d} \cdot \vec{r_d}} \Phi_d^*(\vec{r}) \Phi_A^* V(\vec{r}) e^{i\vec{k_p} \cdot \vec{r_p}} \Phi_{A+1} d^3 r_{A+1} d^3 r_p$$

In the case of a pure single-particle neutron state $\Phi_{A+1,\alpha} = \Phi_{A,\beta}\phi_{n\ell i}(r_n)$

$$T = \int e^{-i\vec{k_d}\cdot\vec{r_d}} \Phi_d^*(\vec{r}) \Phi_A^* V_{np}(\vec{r}) e^{i\vec{k_p}\cdot\vec{r_p}} \phi_{n\ell j} \Phi_A d^3 r_A d^3 r_n d^3 r_p$$

Fourier transform of The picked-up neutron

which leads to $T = \int e^{-i\vec{K}\cdot\vec{r}} \Phi_d^*(\vec{r}) V_{np}(\vec{r}) d^3r \kappa \int_R^\infty e^{-i\vec{q}\cdot\vec{r_n}} \phi_{n\ell j}(\vec{r_n}) d^3r_n$

 $\vec{q} = \vec{k_d} - \vec{k_p}$ momentum carried by the picked-up neutron $K = k_{p} - k_{n}/2$

Cea Transfer reactions: DWBA

$$T_{\alpha\beta} = \left\langle \chi_{\beta}^{(-)} \Phi_{\beta} \left| V \right| \chi_{\alpha}^{(+)} \Phi_{\alpha} \right\rangle \quad with \left| \Phi_{A+1,\beta} \right\rangle = \sum_{n\ell j} \sqrt{S_{\beta}^{n\ell j+}} \left| \phi_{n\ell j} \Phi_{A} \right\rangle$$
$$= \sum_{n\ell j} \sqrt{S_{\beta}^{n\ell j+}} \int \chi_{d}^{(-)*}(\vec{k_{d}}, \vec{r_{d}}) \Phi_{d}^{*}(\vec{r}) \left\langle \Phi_{A}^{*} \phi_{n\ell j}^{*} \left| V_{np}(\vec{r}) \right| \Phi_{A+1,\beta} \right\rangle \chi_{p}^{(+)}(\vec{k_{p}}, \vec{r_{p}}) d^{3}r_{p} d^{3}r_{d}$$

deuteron wf & reaction process nuclear structure

Nota Bene: in the DWBA, reaction mechanism and structure are separated Transfer cross section in DWBA

$$\frac{d\sigma_{\alpha\beta}}{d\Omega} = \sum_{n\ell j} S_{n\ell j} \frac{d\sigma_{\alpha\beta}}{d\Omega} \bigg|_{n\ell j}$$

Analysis of experiments

- 1) Measure $d\sigma/d\Omega$
- 2) Calculate $d\sigma/d\Omega$ single particle
- 3) Extract S_{nlj} by normalization of theo. vs exp.
- 4) Compare to S_{nlj} from theoretical structure model or use in the Baranger sum rule for ESPEs



22 Transfer reactions: angular distributions



L.D. Knutson and W. Haeberli, Prog. Part. Nucl. Phys. 3 (1980).

- Classical derivation: $\hat{L}^{2} |\phi_{n\ell j}\rangle = (\ell + 1)\ell\hbar^{2} |\phi_{n\ell j}\rangle$ $p_{t} \approx p \times \sin(\theta)$ $L = R \times p_{t} \Rightarrow Rp \sin(\theta) = \sqrt{(\ell + 1)\ell}\hbar$ $\Rightarrow \theta_{0} = \sin^{-1}(\frac{\sqrt{(\ell + 1)\ell}\hbar}{Rn})$
 - Numerical application:
 - $\ell = 0 \Longrightarrow \theta_0 = 0^\circ$ $\ell = 1 \Longrightarrow \theta_0 = 19^\circ$ $\ell = 2 \Longrightarrow \theta_0 = 34^\circ$

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Cea ISOL RI beam facilities worldwide



Several low energy beam facilities suited for transfer reactions worldwide

□ Many detector developments to adapt to low-beam intensities and/or resolution requirements

Example of setup: TREX-Miniball at ISOLDE

- T-REX+MINIBALL setup at ISOLDE, CERN: particle-γ coincidences for direct reactions
 Position sensitive compact Si « box » for angular distributions
 Particle resolution: 150 keV FWHM for a 100 µg.cm⁻² target 600 keV FWHM for a 1 mg.cm⁻² target
- □ Photopeak gamma efficiency: 5% at 1.3 MeV



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- 6 MUST2 Telescopes, modular array
 10x10 cm² 300µm DSSSD (700 µm strips)
 Sili i (2 mm) ar Cal
- □ SiLi (3 mm) or CsI
- □ Mostly used in GANIL, campaign at RIKEN in 2010
- □ Inspired from the previous MUST array
- □ E.C. Pollacco *et al.*, EPJA **25**, 287 (2005)





GASPARD-TRACE

- □ next-generation of compact Si array
- **Compatible with AGATA**
- □ driven by IPN Orsay and LNL (Italy)
- $\Box \quad 4\pi \text{ Si array with new PID}$







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Cea Gaspard-Trace

- digital electronics
- pulse-shape based PID
- demonstrated for individual strips of DSSDs





CE2 CHyMENE: a pure and thin solid hydrogen target

Objective

Solid H₂ or D₂ target 50 μ m 50 μ m H2 = 350 μ g.cm⁻² Windowless

CHyMENE specifications

Cryogenic Power: 15 W at 12 K Extrusion speed: 2 to 10 mm/s Correct positioning of the ribbon Vacuum reaction chamber: 5.10⁻⁵ mbar Autonomy: At least 2 weeks Target vertical translation: 100 mm Target rotation: +/- 45 °

Homogeneity

Estimated in-beam to about 10% To be improved with current system

A. Gillibert *et al.*, EPJA **49** (2013).

Operational from 2017





- HELIOS at ANL
- □ large efficiency, simple, excellent energy resolution for thin targets
- □ New concept based on E, ToF and magnetic field
- A. H. Wuosmaa *et al.*, NIM A 580, 1290 (2007)







Image from B. Kay, ANL

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Principle of Time Projection Chambers (TPC)



Time Projection Chamber (TPC) :

The scattered deuteron or *α* ionizes the gas

2. The electrons drift towards the Frisch grid

3. Amplification

4. Signal on each pad proportionnal to the amount of electrons

Slide from M. Vandebrouck, CEA

C. E. Demonchy et al., Nucl. Instrum. Meth. 573, 145 (2007)

Cea TPCs: features and ongoing developments

(<u>MeV/u</u>)

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- □ Several main advantages
 - Efficiency (factor 2-3 of gain)
 - Low thresholds
 - Thick targets (factor 5-10 of gain)
 - Angular and spatial resolution

Wide physics cases

- Nucleon transfer
 5-10
- Resonant scattering 8-10
- Nuclear astrophysics
 10
- Giant resonances
- Decay (2p, β3p, ...) >100
- Several TPCs for nuclear physics developed worldwide:
- ACTAR (France): GANIL and Isolde
- AT-TPC (USA): NSCL/FRIB
- ACTAF (Russia): FAIR
- Spirit TPC (Japan/USA): RIKEN
- CAT (Japan): RIKEN



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Cea Beyond single particles : few body correlations

T=1 pairing, dineutron

T=0 pairing

α cluster states



 ρ_{proton} ρ_{neutron} triplet T=1. $^{T=0,10}$ Be(0_1^+) singlet nn np pp T_Z: -1 0 +1 $^{10}\text{Be}(0_2^+)$ 00 Does this T=0 phase exist ? J = 9 10 Be(1₁) J = 9

→ two-neutron transfer

➔ deuteron transfer

 $\rightarrow \alpha$ cluster transfer

... small cross sections and more complex analysis than for one-nucleon transfer

Cellarian Interplay of structure and reaction: a challenge

Unitary transform and scale dependence of operators:
 Unitary transform U(s) (U⁺(s)U(s)=1) define equivalent Hamiltonians:

$$H(s) = U(s)HU^{+}(s) \Rightarrow \begin{cases} H(s) |\psi_{k}^{A}(s)\rangle = E_{k}^{A} |\psi_{k}^{A}(s)\rangle \\ |\psi_{k}^{A}(s)\rangle = U(s) |\psi_{k}^{A}\rangle \end{cases}$$
Observables $\hat{O}(s) = U(s)\hat{O}U^{+}(s)$ lead to $\langle \psi_{k}^{A}(s)|\hat{O}(s)|\psi_{k}^{A}(s)\rangle = \langle \psi_{k}^{A}|\hat{O}_{k}^{A}|\psi_{k}^{A}\rangle = O_{k}^{A}$

- Not transforming an operator leads to a non observable quantity *i.e.* spectroscopic amplitudes vary under U(s) and or not observables *i.e.* impulse approximation is *s* dependent
- What would be the proper (ideal) way then?
- 1) Define resolution scale s and specify H(s)
- 2) Resolve the many-body (target+projectile) using H(s)
- 3) Validate theoretical cross sections against measured values
- 4) Extract scale dependent SFs S(s) or SPEs e(s) from many-body structure calculation
- The deuteron D-wave (possible puzzling) example: D-wave component not an observable Unitary transform dependence of the wave function and operators
 R.D. Amado, PRC 19, 473 (1979), J.L. Friar, PRC 20, 325 (1979)
 S.N. More et al., Phys. Rec. C 92, 064002 (2015)

See lecture by Kai Hebeler



Single-particle description

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Intermediate-energy nucleon removal

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Cea Inclusive knockout reactions

- Inclusive = detection of the projectile-like residue [what happens to the removed nucleon or target is unknown]
- In-beam gamma spectroscopy to tag final states (*« exclusive »* cross sections)
- Strong advantages for RIB: (i) large cross sections (1-100 mb), (ii) thick targets can be used



80 MeV/nucleon, NSCL

A. Navin et al., PRL 85 (2000)

Leading fragmentation RIB facilities in the world



Example of setup: SeGA/GRETINA + S800 @ NSCL



SeGa=18 HPGe detectors

- Resolution=2-4% @ 1 MeV β=0.4
- ε=2.5% @ 1 MeV and β=0.4

GRETINA=GRETA@MSU (2013-2014): 7 qadruplets x 4 HPGe crystals

- Resolution 1% FWHM @ 1 MeV and β =0.4
- ε=9% @ 1 MeV and β=0.4

Another experimental setup: DALI2 @ RIBF



Eikonal approximation, S matrix and knockout



Single-particle cross section

$$\sigma_{sp}(n\ell j) = \sigma_{sp}^{strip}(n\ell j) + \sigma_{sp}^{diff}(n\ell j)$$

Stripping cross section (the target is excited)

$$\sigma^{strip} = 2\pi \int_{0}^{\infty} b \, db \int d^{3}r \left| \phi_{n\ell j}(\vec{r}) \right|^{2} \left| S_{core}(\vec{b}_{c}) \right|^{2} (1 - \left| S_{nucl}(\vec{b}_{n}) \right|^{2})$$

Core « survives » × Nucleon « adsorbed »

Diffractive cross section (the target remains in its ground state)

$$\sigma_{diff} = 2\pi \int b \, db \left\langle \phi_0 \left\| S_{core} S_{nucl} \right\|^2 \left| \phi_0 \right\rangle - \left| \left\langle \phi_0 \left| S_{core} S_{nucl} \right| \phi_0 \right\rangle \right|^2$$

Breakdown of the N=8 shell closure



First guess assuming N=8 shell closure ... but ¹¹Be has a low excited state at 320 keV

¹²Be(⁹Be,X)¹¹Be at 80 MeV/nucleon

Breakdown of the N=8 shell closure



A. Navin et al., PRL 85 (2000)

CeO In-beam γ spectroscopy of exotic nuclei today

□ RIBF best facility to explore very neutron rich nuclei

□ Ongoing dedicated program (see Lecture 3)



Particle spectroscopy at intermediate energies



- Missing mass: absolute excitation energy, differential cross section
- (e,e'p) best spectroscopic tool proton stripping (electromagnetic interaction)
- Large momentum transfer: minimize final state interactions
- (e,e'p) = not sensitive to neutron, not possible with short-lived nuclei
- Exclusive (p,2p): best (almost ideal) tool!

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Proton-induced quasifree scattering





Distorded-wave Impulse Approximation:



- (p,2p) exclusive quasifree scattering expected to be a clean high energy probe
- In inverse kinematics: best energies from 300/nucleon to 1 GeV/nucleon to minimize FSI
- Only one RIB facility in the world: GSI and FAIR in the near future

Cea (p,2p) quasifree scattering

Kinematics (missing mass technique)

$$\begin{aligned} q_{\perp} &= +p_{1\perp} + p_{2\perp} \\ \overrightarrow{q_{//}} &= \frac{(\overrightarrow{p_{1//}} + \overrightarrow{p_{2//}}) - \gamma \beta (M_A - M_{A-1})}{\gamma} \\ E_s &= T_0 - \gamma (T_1 + T_2) - 2(\gamma - 1)m_p + \beta \gamma (\overrightarrow{p_{1//}} + \overrightarrow{p_{2//}}) - \frac{q^2}{2M_{A-1}} \end{aligned}$$

Direct kinematics ¹²C(p,2p)¹²C, RCNP (Japan)

1200

120

110

100

80

70

60

260

270

 $E_{n}(GR)$ (MeV)

Ep(LAS) (MeV)

 $^{12}C(p,2p)^{11}B^*$

= 392 MeV



Inverse kinematics, ¹²C(p,2p), GSI .. towards R3B@FAIR



M. Yosoi et al., NPA 738, 451 (2004)

V. Panin et al., PLB 753, 204 (2016)

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Colored The R3B project at GSI/FAIR



- GLAD magnet installed, detection (partly) exists
- First experiments foreseen in 2018

Cea Similar-concept setup at the RIBF: SAMURAI



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Cea Neutron detection



- Plastic scintillator, detection based on neutron scattering on Hydrogen atoms
- □ 1 wall: 60 detectors (12x12x180cm3) + 12 veto counters (to reject charge particles)
- □ Neutron energy determined via ToF, ~100 ps time resolution
- □ With 2 walls: 40% efficiency for 1 n
- Multi –neutron detection capability

Cea Neutron detection







- NeuLAND = High resolution fast neutron ToF spectrometer for R3B
- Characteristics
- Efficiency 1n: 90% @ ~200MeV 95% @ 1.0GeV
- 60% efficiency for 4n
- Separation up to 5 neutrons

Technical specifications

- Fully active plastic detector
- 3000 scintillator bars, 2.5m x 5cm x 5cm
- 6000 PMTs
- Each plane = 50 bars, two crossed planes form a double plane → 30 double planes
- Double planes act as modules, dedicated readout electronics and HV separable
- Full WxHxD = 2.5m x 2.5m x 3m

Successfully used at RIKEN (2015-2017)
 RIBF: 4 double planes used





Invariant mass spectroscopy beyond the dripline



- □ Dripline location along the oxygen isotopes explained by 3N forces
- □ Separation energy accessible by INVARIANT MASS technique:

$$M^{2}c^{2} = \left(\sum_{i} \left| \overrightarrow{P_{i}} \right|^{2} \right) = \left(mc^{2} + E^{*}\right)^{2} / c^{2}$$

$$E^{*} \text{ in cm} \qquad \text{lab} \qquad \text{lab} \qquad \text{neutron} \qquad \text{neutron} \qquad \text{lab} \qquad \text{lab$$

- Neutron unbound heavy oxygen isotopes: ^{25,26}O measured at SAMURAI
 Y. Kondo *et al.*, Phys. Rev. Lett. **116**, 102503 (2016)
- □ Spectroscopy of ²⁸O measured in December 2015 via ${}^{29}F(p,2p){}^{28}O \rightarrow {}^{24}O+4n$

neutron

Binding energy and spectroscopy of ²⁶O

PRL 116, 102503 (2016)

PHYSICAL REVIEW LETTERS

week ending 11 MARCH 2016

Nucleus ²⁶O: A Barely Unbound System beyond the Drip Line

Y. Kondo,¹ T. Nakamura,¹ R. Tanaka,¹ R. Minakata,¹ S. Ogoshi,¹ N. A. Orr,² N. L. Achouri,² T. Aumann,^{3,4} H. Baba,⁵ et al.





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Cea Beyond shell model: short and long range correlations

- The repulsive core of NN interaction implies high momentum in the nuclear wavefunction
- Modern theories generate renormalized interactions without hard core (Observables unchanged by these transformations)
- Actively debated today
- Model space is often / always reduced

$$|\psi\rangle_{_{full}} = |\psi\rangle_{_{space}} + |\phi\rangle \otimes GR + high momentum$$



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Cerrelations beyond the mean field

сонской й старахти

- □ charge density distribution from electron elastic scattering
- depletion in inner region originating from short-range correlations



B. Frois, C. N. Papanicolas, Ann. Rev. Nucl. Part. Sci. 37, 133 (1987)

Cea Correlations beyond the shell model



Beyond the mean-field:

- Long range correlations coupling to high energy collective states (GR): **15-20%**
- Short range correlations (hard core): **10-15%**

Cera Further evidence for SRC/high-momentum correlations

High-momentum nucleons contained in low-momentum pairs





- □ Experiment: Jlab, Subedi et al., Science 320 (2008)
 - ¹²C(e,e'pn) @ 4.6 GeV
 - proton quasifree scattering
 - recoil correlated partner (back to back in center of mass)



Cea Stripping cross sections from unstable nuclei



Intermediate-energy knockout

disagreement between theory (eikonal+shell-model) and experiment

Low-energy transfer

- weak ∆S dependence
- *ab initio* calculations predict weak dependence, in agreement with transfer analysis

□ Quasifree scattering at ≈500 MeV/nucleon to be submitted soon



- □ Elastic, inelastic, transfer, knockout and quasi-free scattering
- Unique probes for quantum nuclear effects in Exotic Nuclei:
 - ✓ Nuclear size and density distributions (elastic/inelastic scattering)
 - ✓ Nuclear collectivity (neutron vs protons, compression modes (GMR), ...)
 - ✓ Shell evolution with isospin
 - ✓ Short range correlations
 - ✓ Pairing correlations (T=1, T=0)
 - ✓ Shape / configuration coexistence
- Importance of hydrogen-induced and exclusive reactions (simplest and cleanest hadronic probe among all)
- □ Large prospects and detection developments in view of new/recent RIB machines *Ex.* RIBF (Japan), FAIR, HIE-ISOLDE, SPES, SPIRAL2 (Europe) and FRIB (US)
- □ Coupling particle and gamma spectroscopy (compact arrays) is an asset
- □ Time projection chambers appear to be very promising tools for future
- **Reaction theory** "still in its infancy" compared to current nuclear-structure developments

Many new prospects:

ex. Fully consistent theory, p-bar annihilation, electron-RI collider, neutron-rich hypernuclei,...